



Q1. The main function of an underwriter in the primary market is to_____ .

- A.) Filing prospectus with SEBI for an IPO
- B.) Work as a compliance officer
- C.) None of the above
- D.) Assuring subscription to the company in case of a public issue

Q2. Who is the regulator of the Pension Sector in India?

- A.) IRDAI
- B.) PFRDA
- C.) SEBI
- D.) RBI

Q3. A broker is a_____of the stock exchange.

- A.) Promoter
- B.) Shareholder
- C.) Member
- D.) Director

Q4. Debt capital has fixed_____

- A.) Income
- B.) Expenses
- C.) Maturity
- D.) All of the above

Q5. In a_____market, stock prices rise.

- A.) Slump
- B.) Bear
- C.) Bull
- D.) Dragon

Q6. An investor requiring regular income should invest in which of the following?

- A.) Equities
- B.) Debt securities
- C.) Derivatives
- D.) Physical Gold

Q7. When a company issues new shares to public investors for the first time, it is known as _____.

- A.) Follow on Public Offer
- B.) Initial Public Offer
- C.) Consolidation of shares
- D.) Stock split

Q8. Which of the following is the primary function of the secondary markets?

- A.) Provide liquidity for securities issued.
- B.) Provide a platform for making public issues.
- C.) Provide information about public companies.
- D.) Provides greater disclosures to the investors.

Q9. The S&P BSE Sensitive Index (SENSEX) consists of how many stocks?

- A.) 50
- B.) 51
- C.) 30
- D.) 25

Q10. At what rate of return is your money expected to double in a 6 year period?

- A.) 6 per cent
- B.) 8 per cent
- C.) 10 per cent
- D.) 12 percent

Answer Key

- Q1. **D**
- Q2. **B**
- Q3. **C**
- Q4. **C**
- Q5. **C**
- Q6. **B**
- Q7. **B**
- Q8. **A**
- Q9. **C**
- Q10. **D**